

Investec Bank (Channel Islands) Limited Designated Account Application Form – Additional Related Party form



AUGUST 2023

To supplement the Designated Account Application Form already provided.

To: Name of accepting financial services business **Investec Bank (Channel Islands) Limited ("Investec")**

From: Name of Trust and Corporate Service Provider

Entity/Customer name (in full)

Client number

Details of Related Party/Principal

Surname (or Entity name)

First name (and middle names)

Any other names used (this must include maiden name, former name(s) or professional name). Where not applicable please tick here

Title (Mr/Mrs/Miss/Ms)

Gender

Occupation*

Employer*

*to be completed for those persons who provided the funds to establish the Entity/Customer or currently receive or are likely to receive economic benefit.

How many nationalities does the Principal have?

Nationality 1	Passport/ID Number	Expiry date
---------------	--------------------	-------------

Nationality 2	Passport/ID Number	Expiry date
---------------	--------------------	-------------

Nationality 3	Passport/ID Number	Expiry date
---------------	--------------------	-------------

Date of birth/incorporation	Place of birth/incorporation
-----------------------------	------------------------------

Principal residential address (please include postcode). Note: a PO Box only address is not acceptable

Does the person named above hold citizenship or residency granted as a result of investment in a country?	Yes	No
---	-----	----

If Yes, please provide details

Role of Principal (i.e. Shareholder, Beneficiary, Settlor, Protector, Guarantor etc. See Section 7 for full list.)

If Beneficiary, please indicate Vested or Non-Vested. ☐ Vested ☐ Non-Vested

If shareholder, % shareholding (equity) % shareholding (voting rights)

Appointment date of Principal/Date Relationship Commenced

Source of Wealth – to be completed for beneficial owners/economic contributors and any party that has links to business activities or countries included in the Investec Sensitive Country and Sensitive Business Activities list or where any adverse media or high risk.

Please ensure full descriptions are provided to advise how generated, 'from savings' or 'from inheritance' for example will require additional information of activity.

Below to be completed for all parties where the Entity/Customer is a Passive Non-Financial Foreign Entity (PNFFE) or a Passive Non-Financial Entity (PNFE) and for ALL Guernsey/Jersey residents regardless of entity status.

Country	TIN	Reason if no TIN
---------	-----	------------------

FATCA/CRS Controlling Person? (if Entity/Customer is PNFFE/PNFE)	Yes	No
--	-----	----

If Yes, please provide an explanation if country/ies of tax residence and residential address do not match.

Assurance from the Trust and Corporate Service Provider (“we”, “us”, “our”)

1. We confirm that, in respect of this Entity/Customer, we have obtained and hold the verification information and documentation required to satisfy our regulatory obligations and that we have found out the identity of, and obtained evidence of identity for (as applicable):
 - a. Each named Entity/Customer;
 - b. Each Beneficial Owner, Controller and Key Principal of the Entity/Customer;
 - c. Each third party for whom the named Entity/Customer is acting (and each Beneficial Owner and Controller of that third party); and
 - d. Each person purporting to act on behalf of the named Entity/Customer.
2. We confirm that the information disclosed for this Entity/Customer by us accurately reflects the information held by us and is being given for Account opening and maintenance purposes only.
3. We confirm that we have not relied upon any other party to apply identification measures in relation to the Entity/Customer, nor have we applied simplified identification measures in relation thereto.
4. We confirm that our customer due diligence procedures and record keeping procedures are in line with the standards established under our regulatory regime.
5. **We undertake to promptly notify Investec of all Material Changes to the information previously provided by completing an Additional Related Party Form or an Additional Information Form (as appropriate), and that we have suitable controls in place to ensure that this takes place.**
6. We confirm that where we are a Trust and Corporate Service provider operating from an Acceptable Country (as set out in your Designated Account Application Form) we have enclosed originally certified copies or originally re-certified copies of all the relevant documentation establishing evidence of identity and principal residential address of the Entity/Customer as well as all the underlying Beneficial Owners, Controllers and Key Principals, together with any relevant corporate, trust or foundation (legal entity) documentation as required by the applicable rules, guidance and regulations. We further certify that any such documents provided are true copies of the documents held in our records that were originally obtained and relied upon by us to fulfil our Customer Due Diligence requirements.
7. We confirm that where we are a Trust and Corporate Service provider not operating from an Acceptable Country (as set out in your Designated Account Application Form or subsequently advised by you) we have enclosed originally certified copies of all the relevant documentation establishing evidence of identity and principal residential address of the Entity/Customer as well as all the underlying Beneficial Owners, Controllers and Key Principals, together with any relevant corporate, trust or foundation (legal entity) documentation as required by the applicable rules, guidance and regulations. We further certify that any such documents provided are true copies of the documents held in our records that were originally obtained and relied upon by us to fulfil our Customer Due Diligence requirements.

8. We confirm that we will promptly obtain and provide to Investec any additional information or documentation deemed necessary by Investec to fulfil its regulatory obligations.
9. We confirm that the principal residential address of the Entity/Customer as well as the underlying Beneficial Owners, Controllers and Key Principals information provided remains accurate and up to date although we acknowledge that some of the verification documents provided with this Additional Party Form or Additional Information Form or Designated Account Application Form may now be older than three months from the date of this application.
10. We confirm that to the best of our knowledge we have established the tax status of the Entity/Customer and undertake to carry out the tax reporting for any Entity/Customer introduced to Investec where appropriate.
11. We confirm that to the best of our knowledge the tax affairs of the Entity/Customer are in order and that the Entity/Customer is in full compliance with all applicable tax laws.
12. We confirm that to the best of our knowledge the tax mitigation aspects of the Entity/Customer are not considered to be aggressive and where appropriate legitimate tax mitigation is in place.
13. We confirm that we have made our clients aware that their personal data may be shared with Investec and how that personal data may be used.
14. We confirm that the Assurances given in clauses 1–13 apply to any future information and evidence provided to Investec by way of a completed Additional Related Party form or Additional Information Form or updated Designated Account Application Form.

For a definition of the terms used in the above assurance, please refer to page 20, Section 6 of the Trust and Corporate Service Provider Designated Account Application Form.

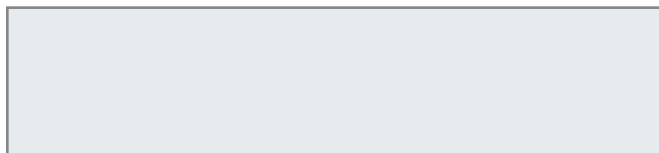
Name

Name

Official position

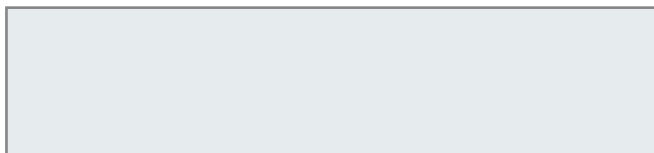
Official position

Signature



Date

Signature



Date

Investec Bank (Channel Islands) Limited ("Investec") recognises and respects the privacy and data protection rights of individuals with regards to personal data (i.e. information that directly or indirectly identifies an individual). We may use your personal data for a number of purposes including but not limited to: providing you with services you request from us, making decisions in relation to the management of your account, for analysis and assessment and to ensure that we comply with our legal and regulatory requirements for example by detecting and preventing financial crime.

For further details as to how Investec uses personal data, please refer to our Data Protection Notice online at https://www.investec.com/en_chis/legal/UK/data-protection-notice/channel-islands.html

Investec Bank (Channel Islands) Limited, PO Box 188, Glatigny Court, Glatigny Esplanade, St Peter Port, Guernsey, GY1 3LP
Tel: **+44 1481 723 506** Fax: **+44 1481 741 147** Email: **enquiries@investec-ci.com** Website: **www.investec-ci.com**

Investec Bank (Channel Islands) Limited, Jersey Branch, registered address:
4th Floor, Liberation House, Castle Street, St Helier, Jersey, JE2 3BT

Investec Bank (Channel Islands) Limited, Isle of Man Representative Office place of business address:
Second Floor, The Old Courthouse, Athol Street, Douglas, Isle of Man, IM1 1LD

Investec Bank (Channel Islands) Limited is a wholly owned subsidiary of Investec Bank plc. This document is distributed by Investec Bank (Channel Islands) Limited which is licensed in Guernsey by the Guernsey Financial Services Commission under The Banking Supervision (Bailiwick of Guernsey) Law, 2020, The Protection of Investors (Bailiwick of Guernsey) Law, 2020, and The Lending, Credit and Finance (Bailiwick of Guernsey) Law, 2022, to carry on banking and investment business and the provision of credit in respect of Consumer Credit and Home Finance. Registered Address: Glatigny Court, Glatigny Esplanade, St Peter Port, Guernsey, GY1 1WR. Registered Number: 5845. The Jersey Branch of Investec Bank (Channel Islands) Limited is regulated by the Jersey Financial Services Commission to carry on deposit taking business under the Banking Business (Jersey) Law 1991, as amended. The Jersey Branch address is 4th Floor, Liberation House, Castle Street, St Helier, Jersey, JE2 3BT. The Isle of Man Representative Office of Investec Bank (Channel Islands) Limited is regulated by the Isle of Man Financial Services Authority and its place of business address is Second Floor, The Old Courthouse, Athol Street, Douglas, Isle of Man, IM1 1LD. CI.TCSP.APP.ARP.005.0823

