

Investor Complaints Data – Merchant Banking
Data for the month ended March 31, 2024

| Sr. No. | Received from | Carried forward from previous Quarter | Received during the Quarter End | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution time^ (in days) |
|---------|-------------------------|---------------------------------------|---------------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| 3 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 | NA |

* Includes complaints of previous months resolved in the current month, if any.

** Includes total complaints pending as on the last day of the month, if any.

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

| Sr. No. | Quarter | Carried forward from previous Quarter | Received | Resolved* | Pending** |
|---------|--------------------|---------------------------------------|----------|-----------|-----------|
| 1 | Jun-23 | 0 | 0 | 0 | 0 |
| 2 | Jul-23 | 0 | 0 | 0 | 0 |
| 3 | Aug-23 | 0 | 0 | 0 | 0 |
| 4 | Sep-23 | 0 | 0 | 0 | 0 |
| 5 | Oct-23 | 0 | 0 | 0 | 0 |
| 6 | Nov-23 | 0 | 0 | 0 | 0 |
| 7 | Dec-23 | 0 | 0 | 0 | 0 |
| 8 | Jan-24 | 0 | 0 | 0 | 0 |
| 9 | Feb-24 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

*Inclusive of complaints of previous quarter resolved in the current month if any.

**Inclusive of complaints pending as on the last day of the month, if any.

Trend of annual disposal of complaints

| Sr. No. | Carried forward from previous year | Received | Resolved | Pending |
|---------|------------------------------------|----------|----------|----------|
| 1 | 2021-22 | 0 | 0 | 0 |
| 2 | 2022-23 | 0 | 0 | 0 |
| 3 | 2023-24 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 |