

## Investec Capital Services (India) Private Limited

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	Investor Complaints Data – Merchant Banking								
Data for the month ended November 30, 2023									
Sr. No.	Received from	Carried forward from previous Quarter	Received during the Quarter End	Total Pending	Resolved*	Pending at of the mo		Average Resolution time^ (in days)	
						Pending for less than	Pending for more		
						3 months	than 3 months		
1	Directly from Investors	0	0	0	0	0	0	NA	
2	SEBI (SCORES)	0	0	0	0	0	0	NA	
3	Other Sources (if any)	0	0	0	0	0	0	NA	
	Grand Total	0	0	0	0	0	0	NA	

<sup>\*</sup> Includes complaints of previous months resolved in the current month, if any.

<sup>\*\*</sup> Includes total complaints pending as on the last day of the month, if any.

<sup>^</sup> Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

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Trend of monthly disposal of complaints							
Sr. No.	Quarter	Carried forward from previous Quarter	Received	Resolved*	Pending**		
1	Apr-23	0	0	0	0		
2	May-23	0	0	0	0		
3	Jun-23	0	0	0	0		
4	Jul-23	0	0	0	0		
5	Aug-23	0	0	0	0		
6	Sep-23	0	0	0	0		
7	Oct-23	0	0	0	0		
	Grand Total	0	0	0	0		

<sup>\*</sup>Inclusive of complaints of previous quarter resolved in the current month if any.

<sup>\*\*</sup>Inclusive of complaints pending as on the last day of the month, if any.

Trend of annual disposal of complaints							
Sr. No.	Carried forward from previous year	Received	Resolved	Pending			
1	2021-22	0	0	0			
2	2022-23	0	0	0			
3	2023-24	0	0	0			
	Grand Total	0	0	0			