

Investec Bank plc
Background to this report

HM Treasury has transposed the requirements set out under CRD IV and issued the Capital Requirements Country-by-Country Reporting Regulations 2013, effective 1 January 2014. The additional information which the bank is required to publish, on a consolidated basis, is contained in this report for the year to 31 March 2014.

Summary

Name of jurisdiction in which the group had a subsidiary or branch during the year	Nature of activities	Number of subsidiary undertakings at end of year	Number of branches at end of year	Appendix reference for names of subsidiaries ^	Number of employees *	Turnover ** £'000
Australia	Banking services	30		Appendix 1	458	106,480
British Virgin Islands	Trust service company or dormant company	3		Appendix 2	-	-
Canada	Corporate finance and advisory	1		Appendix 3	11	159
Cayman Islands	Investment holding	1		Appendix 4	-	4,843
Greece	Dormant subsidiary only	1		Appendix 5	-	-
The Bailiwick of Guernsey	Banking services	12		Appendix 6	64	28,113
Hong Kong	Institutional equities sales and trading	3		Appendix 7	29	8,478
India	Advisory	1		Appendix 8	11	1,788
Isle of Man	Trust services (entity sold in the year)	-		Appendix 9	-	-
Ireland	Banking services, Stockbroking and Wealth Management	33	1	Appendix 10	228	59,171
The Bailiwick of Jersey	Trust and financial services	2	1	Appendix 11	46	6,386
Panama	Trust services nominee company (entity sold in the year)	-		Appendix 12	-	-
South Africa	Dormant subsidiary only	1		Appendix 13	-	-
Switzerland	Banking and Trust services	2		Appendix 14	58	8,181
United Kingdom	Banking services, Stockbroking and Wealth Management	80		Appendix 15	2,404	639,891
United States of America	Services ancilliary to banking	2		Appendix 16	-	(1,663)

Consolidation entries, equity accounting and turnover arising in entities in which the bank has no shareholding but which are included within the consolidation as a result of the group's exposure to variable returns and its continuing involvement

13,537

TOTAL 3,309 875,364

Notes:

^ The appendices include subsidiaries which have been sold or liquidated in the year.

* Average number of full time employees.

** Operating profit before impairments for the year to 31 March 2014.

Appendix 1 - Australia

Name of subsidiary

Alliance E Finance Pty Limited
Collgar Wind Farm Investment Holding Pty Limited
Collgar Wind Farm Services Pty Limited
Hornsedale Wind Farm Management Pty Limited
Hornsedale Wind Farm Holdco Pty Limited
Hornsedale Wind Farm Opco Pty Limited
Investec (Australia) Investment Management Pty Limited
Investec Asset Finance & Leasing Pty Limited
Investec Australia Direct Investments Pty Limited
Investec Australia Funds Management Limited
Investec Australia Limited
Investec Bank (Australia) Limited
Investec Holdings Australia Limited
Investec Power Holdings Pty Limited
Investec Professional Finance Pty Limited
Investec Propco Pty Limited
Investec Property Limited
Investec Property Management Pty Limited
Investec Wentworth Private Equity Limited
Investec Wentworth Pty Limited
IWPE Nominees Pty Limited
LUPE Management Pty Limited
Mannum Powerco Pty Limited
MSN 1438 Pty Limited
The Trustee for Hornsdale Wind Farm Trust
The Trustee for IBAL Croydon Trust
The Trustee for IBAL Point Cook Trust
The Trustee for Investec Wylde St Trust
Tungkillio Powerco Pty Limited
Wentworth Associates Pty Limited

Appendix 2 - The British Virgin Islands

Name of subsidiary

CODIR Company Direction Limited ^
COMAN Company Management Limited ^
Curlew Investments Limited
Finistere Directors Limited
GFT Directors Limited
Investec Trustees (BVI) Limited ^
ITS Trust Company Limited ^
Lausanne International Investments Limited ^
RTC Management Limited ^

Notes

^ Sold or liquidated in the year

Appendix 3 - Canada

Name of subsidiary

Investec North America Limited

Appendix 4 - Cayman Islands

Name of subsidiary

Investec Pallinghurst (Cayman) LP

Appendix 5 - Greece

Name of subsidiary

Koutsouro Wind Energy SA

Appendix 6 - Bailiwick of Guernsey

Name of subsidiary

Bayeux Trustees Limited
Finistere Limited
Finistere Nominees Limited
Finistere Secretaries Limited
Hero Nominees Limited
HEV (Guernsey) Limited
Investec Big Ben Property Fund Limited
Investec Bank (Channel Islands) Limited
Investec Bank (Channel Islands) Nominees Limited
Investec Trust (Guernsey) Limited
Investec Wealth & Investment (Channel Islands) Limited
Torch Nominees Limited

Appendix 7 - Hong Kong

Name of subsidiary

Investec Asia Limited

Investec Capital Asia Limited

Investec Capital Markets Limited

Appendix 8 - India
Name of subsidiary

Investec Capital Services (India) Private Limited

Appendix 9 - Isle of Man

Name of subsidiary

Ledger (IOM) Limited ^

Notes

^ Sold or liquidated in the year

Appendix 10 - Ireland

Name of subsidiary

Aksala Limited
Aurum Nominees Limited
Harlequinn Nominees Limited
Investec (Airtricity) Nominees Ireland Limited
Investec (CapVest) Ireland Limited
Investec (Development) Nominees Ireland Limited
Investec (Placings) Ireland Limited
Investec (Thomas Street) Nominees Ireland Limited
Investec (Thomas Street) Nominees No. 2 Ireland Limited
Investec Broking Nominees Ireland Limited
Investec Capital & Investments (Ireland) Limited
Investec Corporate Finance Ireland Limited
Investec Direct Nominees Ireland Limited
Investec Finance (Ireland) Plc
Investec Financial Management Limited
Investec Holdings (Ireland) Limited
Investec International Limited
Investec Investment Services Ireland Limited
Investec Ireland Limited
Investec Moneybrokers Ireland Limited
Investec Nominees (Ireland) Limited
Investec Nominees No. 2 (Ireland) Limited
Investec Personal Portfolios Ireland Limited
Investec Portfolio Services Limited
Investec Securities Holdings Ireland Limited
Investec Ventures Ireland Limited
Neontar Limited
Nua Homeloans Limited
Nua Mortgages Limited
Quince Nominees Limited
Russell Nominees Limited
Venture Fund Principals Limited
WDB Assetmaster Management Company Limited

Name of branch

Investec Bank plc - Irish branch

Appendix 11 - Bailiwick of Jersey

Name of subsidiary

Investec Administration Services (Jersey) Limited ^
Investec Corporate Services (Jersey) Limited ^
Investec Co-Trustees (Jersey) Limited ^
Investec Fiduciary Services (Jersey) Limited ^
Investec Foundations (Jersey) Limited ^
Investec GP (Jersey) Limited
Investec Holdings Limited ^
Investec Nominees (Jersey) Limited ^
Investec Secretaries (Jersey) Limited ^
Investec Trust (Jersey) Limited ^
Investec Trustees (Jersey) Limited ^
Radinvest Limited

Name of branch

Investec Bank (Channel Islands) Limited - Jersey branch

Notes

^ Sold or liquidated in the year

Appendix 12 - Panama

Name of subsidiary

RTC Nominees AG ^

Notes

^ Sold or liquidated in the year

Appendix 13 - South Africa

Name of subsidiary

Hambros Private Equity (SA) (Pty) Limited

Appendix 14 - Switzerland

Name of subsidiary

Investec Protector and Custodian SA ^

Investec Bank (Switzerland) AG

Investec Trust Holdings AG

Investec Trust (Switzerland) SA ^

Notes

^ Sold or liquidated in the year

Appendix 15 - United Kingdom

Name of subsidiary

Anston Trustees Limited
Battye, Wimpenny & Dawson Limited
Beeson Gregory Index Nominees Limited
Bell Nominees Limited
BWD Pensions Limited
BWD Rensburg Limited
BWD Rensburg Nominees Limited
BWD Rensburg PEP Nominees Limited
BWD Securities Limited
BWD Securities Quest Trustee Limited
Canada Water (Developments) Limited
Capital for Companies Limited
Carr Investment Services Nominees Limited
Carr PEP Nominees Limited
Carr Sheppards Crosthwaite Holdings Limited
Carr Sheppards Crosthwaite Limited
Carr Sheppards Crosthwaite Pension Trustees Limited
CF Corporate Finance Limited
CFC Partners Limited
CSC Trustee Services Limited
Dennis Murphy Campbell Limited
Doolin Commercial Property Fund Plc ^
DMC Clients (Nominees) Limited
Equipment Finance com Limited ^
Evo Nominees Limited
European Capital Company Limited ^
Ferlim Nominees Limited
GFT Holdings Limited
Guinness Mahon Investments Limited
Guinness Mahon Leasing Limited
Henderson Crosthwaite Limited
Hev (Holdings) Limited ^
ICF Investments Limited
Investec 2 Limited
Investec Asset Finance (Capital No 3) Limited
Investec Asset Finance (Management) Limited
Investec Asset Finance Plc
Investec Bank (Nominees) Limited
Investec Finance Plc
Investec Gresham II Limited
Investec Group Investments (UK) Limited
Investec Investment Trust Plc
Investec Investments (UK) Limited
Investec Property (London Road Croydon) Limited
Investec Property (Crawley) Limited ^
Investec Property Developments Limited
Investec Property Investments (Eagle Court) Limited
Investec Property Limited
Investec Property Residential Limited ^
Investec Property Services Limited ^
Investec Trustees (UK) Limited ^
Investec Wealth & Investment Limited

Notes

^ Sold or liquidated in the year

Appendix 15 - United Kingdom (continued)

Name of subsidiary

Investec Wealth & Investment Trustees Limited
Investment Administration Nominees Limited
ITGL UK Nominees Limited
Lammas Gate Management Limited
LeaseDirect Finance Limited ^
LDF Professions Limited ^
MI Vehicle Finance Limited
Nicholson Barber Limited
PEA Leasing Limited
PEP Services (Nominees) Limited
Quantum Funding Limited
Quay Nominees Limited
R & R Nominees Limited
Rensburg Asset Management Limited
Rensburg Client Nominees Limited
Rensburg Investment Management Limited
Rensburg Sheppards Investment Management Limited
Rensburg Sheppards plc
Rensburg Unit Trust Managers Limited
RS Trustees Limited
Safeguard Nominees Limited
Scarwood Nominees Limited
Sheppards & Chase Limited
SMAH 1 Limited ^
St Albrights Colchester Propco Limited
The Leasing Acquisition General Partnership
The Personal Loan Company Limited ^
The Yorkshire Unit Trust Managers Limited
Technology Nominees (Pty) Limited
Torteval Funding LLP
Torteval LM Limited
Tudor Nominees Limited
Tudortree Properties Limited
Vehicles in Practice Limited ^
WDB Asset Management Limited
WDB Private Investment Management Limited
Wherry Road Norwich Propco Limited
Willbrow Nominees Limited
Williams de Broe Limited
Williams de Broe Private Investment Management Nominees Limited
Wimbledon Park Mortgage Funding Limited ^
Yorkshire Investment Nominees Limited

Notes

^ Sold or liquidated in the year

Appendix 16 - United States of America

Name of subsidiary

Amior Films Inc
NCB Securities LLC ^
NCB (USA) Corporation

Notes

^ Sold or liquidated in the year