

Investec Europe Limited
Investec Treasury Risk Solutions
Application Form



Business Account Form



Section 1a: Your Company Details

Company name

Registered no.

LEI no.

Contact name

Contact email

Phone number

Mobile phone

Registered address

Post code

Country

Trading address

(if different to above)

Post code

Country

The Business is a (please tick)

Corporate

Sole trader

Other, please specify

Business activity

Investec Europe will send confirmations, statements, advices and other regular correspondence to your nominated email address(es). If you require paper copies please contact us.

Section 1b: Financial Instruments traded

Please state which of the following describes the activity that you intend to undertake with Investec Europe Limited ("Investec Europe").

The Business will be dealing:

Spot, Forward (Article 10 Exempt)*

The Business may be dealing:

FX Swaps or Options, Interest Rate Hedging, Commodity Hedging, Forwards (Non-Article 10 Exempt) and Structured Products/Structured Notes

(If you tick the second box you will need to supply us with an LEI code)

Legal Entity Identification (LEI) code**

*There is an exemption from the scope of MiFID II where a non-financial counterparty is trading FX forwards which are a means of payment that must be settled physically, is entered into in order to facilitate payment for identifiable goods, services or direct investment and is entered into by at least a person which is not a financial counterparty.

**Under MiFID II you are not permitted to trade without a Legal Entity Identifier (LEI) code however if you are only intending to trade fx spot and Article 10 exempt forwards we will not require an LEI code.

Please indicate which category you believe you fall into.

Section 2: Authorisation requirements for Treasury Transaction

Please state the number of Authorised Signatories required to authorise Transactions.

Any one

Any two

2.1 Explanation of roles and permissions

This section provides guidance to the different roles & permission levels and associated responsibilities.

Note: You must assign at least one signatory, dealer and clerk to properly access to your accounts. To ensure operational efficiency within your company however, you may assign more than one role to each user.

Signatory: Someone who is authorised on behalf of the Company to:

- Authorise new 1st & 3rd party beneficiaries
- Authorise payment to existing 1st & 3rd party beneficiaries
- Sign transaction confirmations

Someone who is authorised on behalf of the Company, via the Online service to:

- Create a new user and assign permissions
- Manage the permissions of an existing user

Dealer: Someone who is authorised on behalf of the Company to:

- Enter into and book all transactions/market orders with Investec Europe Limited
- Instruct/authorise payment to existing 1st & 3rd party beneficiaries

Clerk: Someone who is authorised on behalf of the Company to:

- Create new 1st & 3rd party beneficiaries
- Instruct payment to existing 1st & 3rd party beneficiaries

Online Read Only: Someone who is authorised on behalf of the Company to:

- Read only access to the Online service

All Users:

- Receive all transaction confirmations, statements, advices & notifications

Please specify other restrictions. Use a separate sheet if necessary. If no instruction restrictions are specified, Investec Europe may, at its sole discretion, accept and act on the instructions or purported instructions of any of the Authorised Signatories however given or originated including by telephone or email used by Investec Europe from time to time. Investec Europe may also at its sole discretion require at any time instructions from all Authorised Signatories.

Section 3: Authorised Dealer and Signatory Mandate

You must be a Director, Company Secretary or an Authorised Signatory (together the "Authorised Signatories") of the Business to sign this form. By signing and submitting this form, you, acting for and on behalf of the Business, confirm that you have the authority to act for and on behalf of, and to bind, the Business in all matters and dealings with Investec Europe, including the matters addressed in this form, and Investec Europe is entitled to rely and act upon this confirmation without seeking further evidence from you on the extent of, or any limitations to, this authority.

Please note, we need to record information for two Directors and/or two Authorised Signatories (being persons authorised to bind the Business), (if different from the two Directors).

Please refer to Section 2.1: Explanation of roles & permissions **before** completing this section. If you have any difficulties supplying these details, please contact us on 1800 466 466 or +353 1 421 0000

Director 1

Name*

Email*

Phone number*

Mobile phone*

** This information is required to complete your application.*

ALL permissions or

Signatory Dealer Clerk or

Online Read Only

If you have ticked [ALL permissions] or [Signatory], please provide the following:

Specimen signature

Director 2

Name* Email*

Phone number* Mobile phone*

** This information is required to complete your application.*

ALL permissions or

Signatory Dealer Clerk or

Online Read Only

If you have ticked [ALL permissions] or [Signatory], please provide the following:

Specimen signature

Authorised Signatory 1

Name* Email*

Phone number* Mobile phone*

** This information is required to complete your application.*

ALL permissions or

Signatory Dealer Clerk or

Online Read Only

If you have ticked [ALL permissions] or [Signatory], please provide the following:

Specimen signature

Authorised Signatory 2

Name*

Email*

Phone number*

Mobilephone*

** This information is required to complete your application.*

- ALL permissions or
- Signatory Dealer Clerk or
- Online Read Only

If you have ticked [ALL permissions] or [Signatory], please provide the following:

Specimen signature

Please use an extra sheet if the number of Authorised Signatories exceeds the spaces provided.

Section 4: Anti-money laundering

In order to comply with our obligations under the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010 as amended, we are legally required to verify your identity. AML requirements are detailed in Provision 1.14 of our General Terms and the account opening checklist document provided to all corporate clients. It may, in some cases, be necessary for us to procure additional documentation and / or verification from you in order to open your account.

Section 5: Direct marketing

We would like to use your details to keep in touch by sending information which we believe is relevant and useful to you.

This information may include market news and insights alongside marketing updates and exclusive event invites.

If you do not wish to receive such communications please tick the box provided.

Section 6: Provision of Information

(a) Markets in Financial Instruments Directive (MiFID II)

MiFID II and certain other laws and regulations permit us to provide information to you by means of a website with your consent. Do you consent to receiving MiFID II info via our website? (Y/N)

(b) Packaged Retail and Insurance-Based Investment Products (PRIIPS)

PRIIPs and certain other laws and regulations permit Investec Europe to provide a Key Information Document (KID) using our website provided you choose to receive the document(s) via our website as opposed to in hard copy.

Do you wish to receive KID via our website? (Y/N)

If you do not wish to receive this information via our website, we will send via paper format and you should note that this could result in significant number of documents and a large volume of paper being posted.

Section 7: Settlement Instructions

Once your account is activated, we'll email you instructions to help you log in to our online platform, Investec ix.

Please use Investec ix to upload your settlement instructions as soon as you can. You can also use it to manage your trades and settlements. Our Client Services team will be on hand if you need any support.

Section 8: Investment Authority Mandate

By signing and submitting this form, you, acting for and on behalf of the Company, consent to the above and confirm that the information you provide in this form is accurate and has been completed to the best of your knowledge. You also confirm that you and those authorised signatories identified in Section 4 of this form have the authority to act for and on behalf of, and to bind, the Company in all matters and dealings with us, and we are entitled to rely and act upon this confirmation without seeking further confirmation from you on the extent of, or any limitations to, this authority. You authorise us to enter into any Transaction on behalf of the Company, provided we receive instructions from you to do so. Any Transactions entered into will be in accordance with this Account Opening Form, the General Terms, the Client Agreement and any Additional General Terms. The authorised signatories will alone be valid for all transactions between the company name in Section 1 and Investec Europe. You confirm that the Company will advise Investec Europe in writing signed by two Authorised Signatories as soon as any changes that may take place from time to time to the Authorised Signatures and that Investec Europe is entitled to act upon the information given.

If the Business is a company, this mandate should be signed by a Director and a Director/ Company Secretary. If the Business is a sole trader, please sign where indicated.

	Date	Director
Signature	_____	_____
	Date	Director / Secretary
Signature	_____	_____
	Date	Sole Trader
Signature	_____	_____