







Identification	Verifications	Documents Submitted	Recorded Evidence
Customer	<p>Extract of trust deed detailing the following:</p> <p>Name of the trust, appointment of current trustee, date the trust was set up, registered address of the trust, name of the settlor, powers and duties of the trustee, nature and purpose of the trust, schedule of beneficiaries, schedule of protectors' termination and signing page</p> <hr/> <p>Depending on the proper law 'Jurisdiction' of the trust, a certificate of registration might be requested</p> <hr/> <p>A signed letter from the current trustee confirming the following:</p> <ul style="list-style-type: none"> • The name of the settlor of the trust • Name of the current trustee • Name of the protector • Details of the named beneficiary/ies: Full name and surname, passport/National Identification number, passport expiry number, date of birth, nationality and current residential address "Refer to the attached template" • Confirmation from the current trustee that they are themselves aware of the true identity of the underlying principles that there are no anonymous principals and they have adequately assessed and established the relationship between the settlor and the beneficiaries • If any distribution has been made to the named beneficiaries as at date and their KYC documents to be provided • An undertaking that the bank will be advised of future distribution to the named beneficiaries as well as any changes to the list of the named beneficiaries <hr/> <p>Organogram detailing the trust structure with the relevant % shareholding duly signed and dated by the company secretary or third party Lawyer, Accountant or Notary</p> <p>The following declaration must be included: We confirm that the structure chart is accurate and representative of the "Name of the client"</p> <p>Select the most appropriate as per below:</p> <p>Either: There are no nominees within the structure and the actual UBO has been disclosed and verified</p> <p>Or: All nominees have been duly identified with the relevant parties up to the UBO have been identified and verified</p> <hr/> <p>Board Resolution of the Trustees to open and operate a bank account with Investec Bank (Mauritius) Limited detailing the currency, list of authorised signatories/Online Users, card holders and the mode of operation</p> <hr/> <p>Optional: Depending on the proper law 'Jurisdiction' of the trust, a copy of the business plan or management account to be provided</p>	<p>Documents Submitted</p> <p></p> <p></p> <p></p> <p></p> <p></p> <p></p>	<p>Recorded Evidence</p> <p>All documents must be in original or certified as a true copy (with single certification) by a suitable person such as a lawyer, notary, accountant or other professional persons who clearly adds to the copy (by means of a stamp or otherwise) the term "Certified true copy of the original", date, his name, address, phone number and profession</p> <p>Dual certification is not permissible</p>

Identification

Verifications

Documents Submitted

Recorded Evidence

Related parties to be KYCed

Settlor

If the trust deed or any official document submitted demonstrate a corporate Settlor, the corporate entity should be verified as well as the ownership structure being understood and verified up to the UBO or the Beneficial Owner of the funds, that is: the person who provided the instruction to set up the trust

Trustee

If the trust deed or any official document submitted demonstrate a corporate Trustee, the corporate entity should be verified as well as the ownership structure being understood and verified up to the UBO as well as the individuals acting on behalf of the entity as individual trustee/s.

Protector

If the trust deed or any official document submitted demonstrate a corporate Protector, the corporate entity should be verified as well as the ownership structure being understood and verified up to the UBO as well as the individuals acting on behalf of the entity

In the event of any distribution previously made or to be made to the named beneficiary, the KYC Documents of the beneficiary/ies to be provided

Controller or similar person having power to appoint or remove the trustees

Authorised Signatories/online Users and card users operating the bank account. If the management structure of the customer shows a corporate signatory as opposed to an individual signatory/ies, the corporate entity should be verified as well as the individuals authorised to sign on behalf of the entity

Note: In the absence of authorised signatory, the identity of the person who is the senior managing official



Refer to the Tab Related party – Acceptable documents

Source of Fund and Wealth

A confirmation of the source of funds and wealth of the customer
Note that documentary evidence/s of the source of fund and wealth might be requested during the onboarding process



The source of fund and wealth confirmation can be in the form of:

- A signed letter from the Client
- An email confirmation

Documentary evidence/s of the source of fund and wealth to be provided duly certified by a professional intermediary (Lawyer, Notary, Accountant, by way of a stamp bearing his name, profession/title, signature and the date Dual certification is not permissible

Origin and destination of the funds passing through the account



Email confirmation

Customer Agreement Forms

Application Forms inclusive of the FATCA/CRS Additional Sheet "where applicable"



Electronically signed version is acceptable

