

Quick Notes

Compulsory submission of your new clients KYC documents

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Investec for Intermediaries is committed to continuously improving our products, processes and systems in order to add value to you as well as keeping abreast of regulatory requirements.

One of the system changes that will be implemented during the month of March relates to compulsory upload and submission of your clients identification and verification documents when you onboard a new client.

Please note that:

1. When you onboard your new clients on CCM Online, you will need to upload a complete pack of your clients KYC documentation (proof of address, copy of ID, Company Docs etc). You can view our KYC guide [here](#).
2. You will not be able to submit the onboarding application unless these documents are uploaded.

Whilst Investec continues to place reliance on its Intermediaries, this change will however alleviate the way AML Hits are reviewed and annual reviews are completed for your new clients. It will also assist in reducing document queries through the client lifecycle. We encourage all Intermediaries to upload a comprehensive pack of onboarding documents to alleviate the need to request additional documentation in future.

For any queries, please contact your Investec for Intermediaries consultant or the [CCM Servicing team](#).

Regards

Shavonne Bagley

Shavonne Bagley
Head of Client Servicing

