[⊕]Investec

Quick Notes

FICAA remediation

23005

We would like to express our gratitude to all firms for their commitment to FICAA remediation. Your participation demonstrates your dedication to ensuring compliance with the FICAA regulations.

With the FICAA remediation deadline having passed we were required to place a restriction on un-remediated accounts, which has prevented any transactional activity on them. This restriction will remain in place until the account has been fully remediated.

Once the account or accounts have been remediated, you may send a request for the restriction to be lifted to <u>CCM Servicing team</u> and include a screen grab of the remediated account and updated information. Please ensure to add "FICAA remediation – Uplift restriction" in the subject of the email. Please also copy in your relationship consultant.

On receipt of this request, we will review the accounts to confirm that the relevant information has been added and if so, the restriction will be lifted. We must note though that the lifting of the restriction may not be immediate, and this process can take **up to 5 working days** to complete.

For any queries, please contact your Investec for Intermediaries consultant or the <u>CCM Servicing team</u>.

Regards

Bryan Stow

Bryan Stow Head of Operations

