



Board of Directors, executive management and auditors



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Board of Directors

The Board of Directors (“BoD”) of Investec Bank (Switzerland) AG (“Bank”), under the leadership of the Chairman, consists of three to five members as per our Articles of Association. The BoD decides on the strategy of the Bank upon recommendation of the Chief Executive Officer (“CEO”) and is responsible for the overall direction, supervision and control of the Bank and its management as well as for supervising compliance with applicable laws, rules and regulations.

The following table provides an overview of the composition of the Board of Directors:

Name	Domicile	Function	Independent	Initial election	Election until
Ian Wohlman	London, United Kingdom	Chairman	Employed by Investec Bank PLC	July 2011; Chairman since December 2016	July 2018
Dr. Thomas A. Frick	Zurich, Switzerland	Vice Chairman and Chairman of the Audit Committee	Yes	July 2005; Vice-Chairman since June 2012	July 2018
Prof. Dr. Bruno Gehrig	Winterthur, Switzerland	Member	Yes	January 2014	July 2018

Ian Wohlman, English, 1954

Ian Wohlman has worked in the UK Banking and Financial services market since 1973 and is a member of the Association of Chartered Institute of Bankers. He has been with Investec since 1989 when Investec bought Allied Trust Bank Ltd now Investec Bank PLC.

Ian is the Chief Risk Officer for Investec in the UK; a director of Investec Bank PLC and a non-executive director and chairman of a number of material subsidiary companies. Ian chairs and is a member of a number of Investec decision and review committees. These cover Capital, Liquidity, Credit risk, Operational Risk and Market risk. He oversees the relationship with the PRA and FCA which includes customer and market conduct.

Dr. Thomas A. Frick, Swiss, 1961

Dr. Thomas Frick is partner in a renowned law firm, Niederer Kraft & Frey, attorneys-at-law, Zurich since 2001, specialising in banking and financial services law. He holds an LL.M. from the London School of Economics.

Prof. Dr. Bruno Gehrig, Swiss, 1946

Prof. Dr Bruno Gehrig finished his studies in business administration with both a doctorate and a habilitation in monetary theory. He was active in the public as well as in the private sector holding senior positions in the Swiss financial market supervisory authority and the Swiss national bank as well as lecturing and serving in senior roles in the insurance, banking, pharmaceutical and airline industry.

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Executive management

The Bank operates under a strict dual board structure, as mandated by Swiss banking law, and therefore the BoD delegates the management of the daily business to the Executive Management ("EM"). Under the leadership of the CEO, the EM has executive management responsibility for the operation of the Bank and its business. It assumes overall responsibility for developing the Bank and the implementation of approved strategies.

The following table provides an overview of the composition of the EM:

Name	Function	In this function since
Peter Gyger	Chief Executive Officer	August 2013
Melanie Abromowitz	Chief Finance Officer	August 2014
David Gurtner	Head of Risk, Projects and IT	January 2015
Petra Otten	Head of Legal & Compliance	July 2010
Annelise Peers	Chief Investment Officer	June 2014

Peter Gyger, CEO, Swiss, 1962

Peter Gyger joined the Bank in 2003 as Chief Operating Officer and is responsible for all operational activities as well as new project initiatives. In 2013, he has taken-on the CEO's role. Peter has vast experience across a range of private banking and wealth management services. Prior to joining us, Peter held several senior positions within the private banking industry including serving as Head of Finance at Consors (Switzerland) and between 1989 and 2001 as COO and Head of IT at Robert Fleming (Switzerland).

Melanie Abromowitz, CFO, English, 1972

Melanie Abromowitz is responsible for the Finance and Reporting function; Treasury, Operations and Trading function. She joined Investec Group in 2001 and held roles as Chief Operating Officer and CFO of Corporate and Institutional Bank (CIB) in UK, until 2014 when she relocated to Switzerland to join the Bank. Melanie holds a Bachelor of Business Science and Postgraduate Diploma in Accounting from University of Cape Town; and is a qualified Chartered Accountant.

Petra Otten, Head of Legal & Compliance, Swiss, 1960

Petra Otten acts as the Bank's general counsel, money laundering reporting officer and responsible officer for US related client tax matters. She holds a degree in business administration, an EMBA and is a qualified lawyer. Petra worked in the airline and plant construction industry and a well-known law firm before joining the banking industry.

David Gurtner, Head of Risk, Projects and IT, Swiss, 1978

David Gurtner joined the Bank in 2008 as Business Analyst and has assumed overall responsibility for Projects, Risk and IT in 2015. David is responsible for ensuring continuous risk monitoring and enhancement of the bank's business framework, processes and systems. David has worked in the banking industry for over 14 years. He holds a degree in economics as well as in business information management from the Zurich University of Applied Sciences.

Annelise Peers, Chief Investment Officer, Swiss, 1967

Annelise Peers is responsible for overseeing the development and management of the Investec Bank (Switzerland) AG investment process. Annelise has over 25 years of experience within the investment industry with particular expertise in foreign exchange markets, capital and money markets and fixed income securities. Annelise has a B.A. (Hons) degree in Economics and Mathematics from the University of Pretoria.

Auditors

Ernst & Young Ltd., Zurich, Switzerland