

3. Transfer details

Please transfer the following holdings from the above Plan:

Number of units

4. Declaration

1. I/We request Investec to transfer the percentage/amount from the existing Plan number(s) stated in section 1 and 3 to the SIPP Trustee/Platform named in section 5 of this form.
2. I/We understand that:
 - a) The transfer of ownership could have tax implications and that I/we should consult my financial adviser for advice.
 - b) If the entire existing Plan account is being transferred, the existing Plan account will be closed and any instructions on the account will therefore stop.
 - c) If I am/we are not transferring the whole account, the minimum value I need to leave in the account is £3,000.
3. In signing below I/we accept the conditions given and referred to in this form.

All signatories for the Plan must sign. (Please continue on a separate page if required)

Authorised Signatory: <input type="checkbox"/>	Date <input type="text"/>
Full name	
Authorised Signatory: <input type="checkbox"/>	Date <input type="text"/>
Full name	
Authorised Signatory: <input type="checkbox"/>	Date <input type="text"/>
Full name	
Authorised Signatory: <input type="checkbox"/>	Date <input type="text"/>
Full name	

5. Transferee's details

Name of scheme

Registered address of scheme

Postcode

Scheme number

HMRC reference number

Date registered by HMRC

Note: Please provide details of all trustees – continue on a separate sheet if necessary.

Full name of Trustee 1

Residential address

Postcode

Telephone number (daytime)

Date of birth

Full name of Trustee 2

Residential address

Postcode

Telephone number (daytime)

Date of birth

Full name of Trustee 3

Residential address

Postcode

Telephone number (daytime)

Date of birth

Full name of Trustee 4

Residential address

Postcode

Telephone number (daytime)

Date of birth

For SIPP investments only

Full name of scheme beneficiary

Scheme address

Postcode

Telephone number (daytime)

Date of birth

Nationality

Occupation

6. Payment details

Payments can only be made by direct credit by the Bankers Automated Credit System (BACS) to an account with a bank or building society within the UK clearing system.

Please refer to the brochure for payment details.

Bank/Building Society

Account to be credited (for example, The Trustees of the ABC Pension Scheme)

Bank sort code

- -

Full account number

(Normally 8 digits occasionally 7)

Building Society reference or roll number

I/We declare that to the best of my/our knowledge and belief the information contained in the Transfer of Ownership Form is correct.

I/We confirm that:

- › I/We declare that I/we have read and understood the Plan brochure, including the Plan Risks and accept the Terms and Conditions and agree to be bound by the Terms and Conditions under which our Plan will be managed.
- › I/We acknowledge that in order to comply with its obligations under UK tax legislation, Investec Bank plc may request me/us to provide additional information and/or documentation related to the tax status of my/our organisation and any trustee, settlor, protector or beneficiary.
- › I/We agree to comply at all times with such a request from Investec Bank plc within the time specified by Investec Bank plc in its request.
- › I/We have taken any and all independent advice required, do not require any further consent and will not be in breach of any regulatory or trust provisions in making this investment.
- › I/We agree to advise Investec Bank plc within 30 days in writing of any changes in the information contained in this Transfer of Ownership Form, including any changes to the Trustee Holder. I/We agree to provide evidence of the change if I am/we are asked to.
- › I/We confirm that I/we have the full power to invest in the Plans and have taken all action necessary to authorise the completion of this transfer and the person(s) signing this Transfer of Ownership Form have full power, consents and authority to do so on our behalf.
- › I/We are not acting on behalf of a resident of the United States or a U.S. Person (as defined under the Internal Revenue Code of 1986, as amended) and I/we will not assist any person who is resident in the United States or a U.S. Person to acquire an interest in the Trust/Bond. I/We agree to inform you immediately should I/we believe anyone connected with the trust becomes a resident of the United States or a U.S. Person.
- › I/We are not acting on behalf of a person who is in the United States or who is a U.S. Person (as defined in Regulation S under the U.S. Securities Act of 1933, as amended).
- › I/We authorise Investec Bank plc to hold the subscriptions, Plan investments, interest and any other rights or proceeds in connection with our investments and any other cash and upon our request to transfer or pay to the Scheme any investments, interest, rights or other proceeds in connection with such investments.
- › Where I/we have chosen a Deposit Plan, I/we agree that our money will be placed on deposit with Investec Bank plc. Where I/we have chosen an Investment Plan, I/we agree that our money will be used to purchase securities issued by Investec Bank plc.
- › I/We accept that the Plan Manager will only provide an annual statement on the value of the Plan.

How we use your data

Investec Bank plc and its subsidiaries ("Investec") recognise and respect the privacy and data protection rights of individuals with regards to personal data (i.e. information that directly or indirectly identifies an individual). We may use your personal data to provide you with (including but not limited to) services you request from us, manage your accounts, make decisions, detect and prevent fraud, for analysis and assessment and/or to ensure that we comply with legal and regulatory requirements.

For further details as to how Investec uses your information, please refer to our Data Protection Notice which is available at the following link www.investec.com/cib-dataprotection

All enquiries, requests or concerns relating to the processing of your personal data and/or to ask for a printed copy of the Data Protection Notice, should be sent to the Data Protection Officer at Investec Bank plc, 2 Gresham Street, London EC2V 7QP or by email to dataprotection@investec.co.uk

Marketing

Investec Corporate Investment Bank may contact you periodically by telephone and/or post to provide information regarding events, products, services and content that may be of interest to you, unless you advise us that you do not wish to receive marketing or market research communications from us.

Please confirm if you are happy to receive marketing or market research communications from us by email or other electronic means by ticking the box below:

I consent to receive marketing by email or other electronic means.

If you wish to stop receiving marketing or market research communications from Investec Corporate Investment Bank you can click on the unsubscribe link in the marketing communication or contact the Data Protection Officer as described above.

All signatories for the Plan (as set out in Section 4) must sign. (Please continue on a separate page if required)

Authorised Signatory: X	Date	d	d	m	m	y	y	y	y
Full name									
Capacity									
Authorised Signatory: X	Date	d	d	m	m	y	y	y	y
Full name									
Capacity									
Authorised Signatory: X	Date	d	d	m	m	y	y	y	y
Full name									
Capacity									
Authorised Signatory: X	Date	d	d	m	m	y	y	y	y
Full name									
Capacity									

Please return the completed and signed Application Forms to: Investec Structured Products, PO Box 914, Newport NP20 9PE.

If you have difficulty in reading our literature, please call us on 020 7597 4065. We can supply this in a range of formats including large print, audio and Braille.