

Execution Only Custody and Dealing Services Fact Sheet



JUNE 2022

We offer a fully-integrated execution only securities dealing and custody service in Guernsey for those clients with a minimum portfolio value of £100,000 who wish to manage their own investments and maintain the safekeeping of their assets in an offshore location.

Execution only dealing

Clients may buy or sell equities, bonds, funds and unit trusts traded on the principal worldwide exchanges or through the major Fund Managers and have their portfolios held in our nominee name.

Clients may place purchase or sale orders directly with their relationship manager and once accepted these orders will be executed as soon as reasonably practicable for settlement by the Bank's approved stockbroker.

To utilise this service clients are required to open a bank account with us. The bank account will be used to fund all investment purchases and receive proceeds of all investment sales together with any cash dividends.

We undertake the custody of the investments in the portfolio but we do not provide any discretion, nor investment advice. As we only offer execution only services, no suitability nor appropriateness assessments will be conducted.

Safe custody/nominee service

Clients' securities are held in a client designated account and are registered in the name of Investec Bank (Channel Islands) Nominees Limited, with each portfolio having a unique and secure nominee number.

The key features of this service are:

- To buy and sell equities and bonds on principal worldwide exchanges at Best or at a Price Limit Order
- To buy and sell Funds and Unit Trusts through major Fund Managers at the best price available
- Use of our Nominee name for registering securities
- Collection service for interest and dividends
- Corporate action notification service
- Regular valuations, usually quarterly
- Direct access to place trades with the Bank's dedicated Client Relationship Manager via telephone, fax or email
- Lombard lending against portfolios
- Online access to view portfolios
- Proxy voting at AGMs and EGMs is available to clients holding equities traded within the European Economic Area ("EEA").

Services which are not included are:

- Proxy voting at AGMs and EGMs for equities traded outside of the EEA
- Stop loss orders/Stop limit orders
- Stock lending
- Derivatives
- Advice or opinions on transactions
- Certificated holdings
- Discretion
- Automatic dividend reinvestment options on US equities
- Suitability and appropriateness assessments.

How to apply for this service

Clients are required to maintain a bank account with Investec Bank (Channel Islands) Limited and must complete all account opening documents. This will include the Custody and Dealing Services Agreement, which details all relevant Terms and Conditions and the Schedule of Charges, must be signed and returned.

This is an execution only service and no investment advice is given. If you require investment advice or discretionary investment management, you may wish to consider contacting our sister company Investec Wealth and Investments (Channel Islands) Limited. Your Relationship Manager can put you in touch.

Find out more

For further information, please contact Investec Bank (Channel Islands) Ltd, PO Box 188, Glatigny Court, Glatigny Esplanade, St Peter Port, Guernsey, GY1 3LP on +44 1481 723 506 or email enquiries@investec-ci.com or visit www.investec-ci.com

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