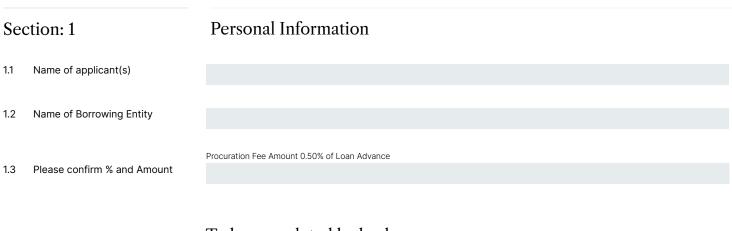
# Mortgage intermediary submission form for non-regulated mortgages



## To be completed by broker

No

1.4 Will the client be paying a fee directly to you?

Yes

If 'Yes', please specify how much

## Declaration

#### I declare that:

1. The information given in this document is true, accurate and complete to the best of my knowledge and belief.

<sup>⊕</sup>Investec

2. I have explained to the applicant how their personal data will be used and have obtained their consent to the sharing of their personal information with Investec Bank plc in accordance with the obligations set out in Investec Bank plc's Standard Terms of Business for Mortgage Intermediaries.

## Section: 2

2.1 How Investec Bank plc uses your personal information Submission form

Investec Bank plc may collect and use your personal data for the reasons set out below:

- to provide you with the requested products and services;
- to fulfil any contract with you;
- to manage your accounts;
- to make decisions;
- to detect and prevent fraud:
- to comply with laws, regulations and/or codes of practice; and/or
- for other purposes where it is in our legitimate interests.

You must make sure that if you give us personal data about someone else, you have their consent and they are happy for you to share their personal data with us. You should make sure they read our Data Protection Notice and understand how we will use and disclose their information, in the ways described in our Data Protection Notice.

For further details as to how Investec uses personal data, please refer to our Data Protection Notice online at https://www.investec.com/en\_gb/legal/UK/data-protection-notice/private-bank.html.

#### Fraud Prevention

We and other organizations can access and use information recorded by FPA's in other countries.

The personal information we have collected from you will be shared with fraud prevention agencies who will use it to prevent fraud and money-laundering and to verify your identity. If fraud is detected, you could be refused certain services, finance or employment. Further details of how your information will be used by us and these fraud prevention agencies, and your data protection rights, can be viewed at https://www.investec.com/en\_gb/legal/UK/fraud-prevention-notice.html.

#### Independent checks

I/We agree that the Bank may at its discretion perform independent checks to verify my/our identity and/or address and/or to validate certified documents that I/we have provided to the Bank. I/We further agree that these recognised independent checks may include documented checks of the electoral register and/or credit bureau records, and/or confirmation from a solicitor or accountant.

Please contact us at Investec Bank plc, The Banking Team, 30 Gresham Street, London EC2V 7QP if you want to receive details of the relevant fraud prevention agencies.

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2.2	Intermediary firm name and address		
2.3	FCA firm reference number		
2.4	Full name of intermediary employee completing this form		
2.5	Date of birth (date/month/year)		
		Mobile	Landline
2.6	Telephone number(s)		
2.7	Home address		
2.8	Email address		
2.9	If you are an appointed representative or a member of a network	please also provide the name and FCA reference number of your principal firm	
2.10	Signature		
2.10			
2.11	Date		



### Tel: 0330 123 4512 or +44 (0)20 7597 4050 if you're outside the UK

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