



Tax Compliance – Self Certification for Individuals

Please refer to the explanatory notes/instructions and/or contact your tax adviser to help complete this form

Section A: Beneficial Owner Information

| | | | |
|---|---|---------------------------|----------|
| FULL NAME (MANDATORY) | | | |
| PERMANENT RESIDENCE ADDRESS (MANDATORY) | | | |
| | | | POSTCODE |
| | | | |
| MAILING ADDRESS (IF DIFFERENT) | | | |
| | | | POSTCODE |
| | | | |
| COUNTRY OF BIRTH (MANDATORY) | PLACE OF BIRTH (TOWN OR CITY) (MANDATORY) | DATE OF BIRTH (MANDATORY) | |

Section B: Tax Residency (MANDATORY)

Please indicate ALL countries in which you are resident for the purposes of that country’s income tax. You must provide your tax identification number (TIN) for each country you are tax resident in. Example TIN’s include NI number (UK and Isle of Man), Social Security Number (Guernsey, Jersey, Gibraltar), and US Tin (US).
 If you are unable to provide a relevant Tax Identification Number (e.g. Minor) then please explain the reason why in the Tax Identification Number box, e.g. Minor.
 If you are a US citizen, Green Card holder, US resident, or have a substantial presence in the US * (see definition below), you must complete and return to Investec Wealth & Investment an IRS (Internal Revenue Service) W-9 form and include US and any additional non-US tax residencies in the table below.
 * Substantial presence is defined as if you were physically present in the US on at least: 31 days during the current year; and 183 days during the 3 year period that includes the current year and the 2 years immediately before that.

| | |
|--------------------------|---------------------------|
| COUNTRY OF TAX RESIDENCY | TAX IDENTIFICATION NUMBER |
| COUNTRY OF TAX RESIDENCY | TAX IDENTIFICATION NUMBER |
| COUNTRY OF TAX RESIDENCY | TAX IDENTIFICATION NUMBER |
| COUNTRY OF TAX RESIDENCY | TAX IDENTIFICATION NUMBER |

Section C: Declaration Section (MANDATORY)

1. I undertake to advise Investec Wealth & Investment promptly of any change in circumstances which causes the information contained herein to become incorrect or incomplete and to provide Investec Wealth & Investment with an updated declaration within 30 days of such a change in circumstances.
2. I am aware that in certain circumstances Investec Wealth & Investment will be obliged to share this information with UK tax authorities, who may pass it on to other tax authorities.
3. I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

| | | | | | | | | | |
|------------|--|--|--|--|--|--|--|--|--|
| SIGNED | DATE | | | | | | | | |
| | <table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> </table> | | | | | | | | |
| | | | | | | | | | |
| PRINT NAME | CAPACITY | | | | | | | | |

For office use only

Upon receipt; this form should be forwarded to the Regulatory Reporting department in Liverpool.
 Please supply account number(s) if this is a new self certificate for use against existing accounts:

Bath 01225 341580
Belfast 02890 321002
Birmingham 0121 232 0700
Bournemouth 01202 208100
Cheltenham 01242 514756

Edinburgh 0131 226 5000
Exeter 01392 204404
Glasgow 0141 333 9323
Guildford 01483 304707
Leeds 0113 245 4488

Liverpool 0151 227 2030
London 020 7597 1234
Manchester 0161 832 6868
Reigate 01737 224223
Sheffield 0114 275 5100

investecwin.co.uk

Member firm of the London Stock Exchange.
Authorised and regulated by the Financial Conduct Authority.
Investec Wealth & Investment Limited is registered in England.
Registered No. 2122340. Registered Office: 30 Gresham Street, London, EC2V 7QN.

