Investec
Wealth & Investment

Authority to amend static data and make a payment for Clients of Financial Advisers

CLIENT NAME	
ACCOUNT NAME/S	
ACCOUNT REFERENCE/S	

Background

Investec Wealth & Investment (IW&I) is committed to maintaining the highest standards of client protection in all aspects of the management and administration of your client's accounts. As a result of the continued increase in fraud and identity theft, it has become necessary to ensure that before instructions are accepted by IW&I from the client's Financial Adviser to amend static data and/or make payments on behalf of the client, that all the appropriate security checks have been undertaken directly with the client to ensure the validity of the instruction.

We may only accept instructions from a client's Financial Adviser where the client has confirmed in writing that this is acceptable, normally this is recorded in the Client Agreement when IW&I are first appointed or subsequently using the Nominated Persons Form.

N.B.

1) This form **is** required each time an instruction is received to **amend static data** only or **to amend static data and then make a payment from the client's portfolio to their bank account.**
2) This form is **not** required for Pensions (e.g. SIPPs) or Bonds (Offshore or Onshore) as instructions can only be accepted by IW&I from the product provider.
3) This form is **not** required when an instruction is given to make a payment from the client's portfolio to a pre-existing bank account.

For further information please refer to our document "Management Services for the Clients of Financial Advisers – Why IW&I?" (IWI175) and "Terms & Conditions for Investment Management and Dealing" (IWI040) which explain the levels of client protection offered and the acceptance of instructions by IW&I from both your clients and other third parties or nominated persons, such as the client's Financial Adviser.

Details to be amended

Client contact details

RESIDENTIAL ADDRESS	
	POSTCODE
TELEPHONE (HOME)	TELEPHONE (BUSINESS)
TELEPHONE (MOBILE)	EMAIL

Bank Details

ACCOUNT NAME			
BANK NAME	ADDRESS		
ACCOUNT NUMBER	SORT CODE	POSTCODE	

Details of payment

Payment to the Client's Bank Account

AMOUNT TO BE PAID £	DATE OF PAYMENT D D M M Y Y

Any other comments

The declaration outlined below must be signed by the financial adviser when the form is lodged with IW&I. Where forms are received unsigned, IW&I will contact the client/s directly to check the validity of such instructions which may cause a delay in amendments and/or payments being processed.

Declaration by the Financial Adviser

I confirm that I have undertaken appropriate checks directly with the client/s to ensure that the instructions received by us are genuine and that such checks have not been made by email. I accept that any failure to complete such confirmations of validity **directly** with the client/s could lead to fraud and/or client financial loss and I accept full responsibility for any errors or omissions and/or resulting client loss which may result.

NAME

DATE

SIGNED

Bath	01225 341580	**Edinburgh**	0131 226 5000	**Liverpool**	0151 227 2030
Belfast	02890 321002	**Exeter**	01392 204404	**London**	020 7597 1234
Birmingham	0121 232 0700	**Glasgow**	0141 333 9323	**Manchester**	0161 832 6868
Bournemouth	01202 208100	**Guildford**	01483 304707	**Reigate**	01737 224223
Cheltenham	01242 514756	**Leeds**	0113 245 4488	**Sheffield**	0114 275 5100

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