



# Clients of Financial Advisers ISA Application Form

## 2018/2019

Terms in italics refer to the definitions section of the Terms and Conditions for Investment Management and Dealing Services.

I apply to subscribe for an Investec Wealth & Investment (IW&I) Stocks and Shares Individual Savings Account for the tax year commencing 6th April 2018 and for subsequent years where applicable. I agree that such subscriptions will be subject to IW&I's standard charges and terms applicable at that time.

IW&I CONTACT:		EXISTING REFERENCE NO.:	
NAME OF FINANCIAL ADVISER:			

### 1 Personal details In order to comply with HM Revenue & Customs requirements, the personal details section must be completed in full

TITLE/NAME			
PERMANENT RESIDENTIAL ADDRESS			
			POSTCODE
TELEPHONE NO. HOME		TELEPHONE NO. WORK	
DATE OF BIRTH		DO YOU HAVE A NATIONAL INSURANCE NO.?	
		<input type="checkbox"/> YES <input type="checkbox"/> NO	NATIONAL INSURANCE No.

### 2 Declaration & Authority for a Client of Financial Advisers Stocks and Shares ISA

I wish to subscribe to a Client of Financial Advisers Stocks and Shares ISA for the tax year 2018/2019 by:

TRANSFERRING CASH FROM MY PORTFOLIO <input type="checkbox"/>	CHEQUE (ATTACHED)* <input type="checkbox"/>
TRANSFERRING PROCEEDS OF SALES FROM MY PORTFOLIO <input type="checkbox"/>	SHARES FROM ALL-EMPLOYEE SAVINGS-RELATED SHARE OPTION/PROFIT SHARING SCHEME <input type="checkbox"/>
MY INITIAL SUBSCRIPTION IS FOR £ <input style="width: 100px;" type="text"/> THE MAXIMUM YOU MAY SUBSCRIBE IS £20,000	
MY ACCOUNT IS TO BE SET UP IN ACCORDANCE WITH MY OTHER ACCOUNTS AS STATED ON THE CLIENT AGREEMENT FORM SIGNED BY ME	

\* All cheques should be made payable to "Investec Wealth & Investment Limited".

### 3 Declaration

**I declare that:**

(a) I am 18 years of age or over.

(b) I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same year that I subscribe to this *Clients of Financial Advisers Stocks and Shares ISA*. (N.B. for these purposes a reference to a year is a reference to a tax year).

(c) I am resident in the *United Kingdom* for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the *United Kingdom*, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Investec Wealth & Investment if I cease to be so resident or to perform such duties or be married to a person who performs such duties.

(d) All subscriptions made and to be made belong to me.

(e) I have not subscribed and will not subscribe more than the overall subscription limit to any combination of permitted ISAs in the same tax year.

**I hereby authorise IW&I directly or through its appointed nominee company to:**

(a) Hold my cash subscription, *ISA investments*, interest, dividends and any other rights or proceeds in respect of those *investments* and any other cash.

(b) Make on my behalf any claims to relief from tax in respect of *ISA investments*.

(c) On my written request to transfer or pay to me as the case may be *ISA investments*, interest, dividends, rights or other proceeds in respect of such investments or any cash.

**I acknowledge that:**

(a) My *ISA* is subject to the *Terms and Conditions*. For your own benefit and protection you should read these terms carefully before signing them. If you do not understand any point please ask for further information.

(b) My *ISA* will be operated on the same service, risk and objective profile stated in the *Client Agreement* as applicable and signed by me.

(c) The information contained in this Application Form will be held and processed in accordance with Data Protection Legislation as defined in the relevant section of the *Terms and Conditions*.

(d) *IW&I* will pass information and accept instructions from my *financial adviser* detailed above if authorised by me in the *Client Agreement* as applicable, unless *IW&I* is notified differently in writing.

**I declare that, to the best of my knowledge and belief, the information provided by me herewith is true and correct, I agree to inform IW&I of any changes in this information without delay. I agree to the Terms and Conditions. I declare that this Application Form has been completed to the best of my knowledge and belief.**

### 4 Signature In order to comply with HM Revenue & Customs requirements, you must put your signature in the client signature box below and also fill in the date box.

CLIENT SIGNATURE	DATE				
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Please complete and return this form to your Investment Manager.

<b>Bath</b>	01225 341580	<b>Edinburgh</b>	0131 226 5000	<b>Liverpool</b>	0151 227 2030
<b>Belfast</b>	02890 321002	<b>Exeter</b>	01392 204404	<b>London</b>	020 7597 1234
<b>Birmingham</b>	0121 232 0700	<b>Glasgow</b>	0141 333 9323	<b>Manchester</b>	0161 832 6868
<b>Bournemouth</b>	01202 208100	<b>Guildford</b>	01483 304707	<b>Reigate</b>	01737 224223
<b>Cheltenham</b>	01242 514756	<b>Leeds</b>	0113 245 4488	<b>Sheffield</b>	0114 275 5100

[investecwin.co.uk](http://investecwin.co.uk)

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