



— OUT OF THE ORDINARY

Investec Premium Finance Broker application



Brokerage details

Brokerage name

Type of business

 Limited company LLP Sole trader Partnership

Company registration number

Trading address

Postcode

Your details

Title

 Mr Mrs Miss Ms Other

Position

Full name

Your email address

Your mobile number

Main email address

Please provide copies of the driving licences for all listed directors and business owners

Your brokerage

Please provide us with a little more background to your business

What is your annual gross written premium?

 £

Who are the main insurers you work with?

How much do you finance annually?

 £

Do you have any other branches? If so, how many?

Finance Split

Commercial

 £

Personal

 £

Average premium size

 £

Who is your main premium finance provider?

Who is your software house?

Bank details

Premium payment – please enter the details of your client account

Sort code

 – –

Account number

Bank name

Account name

Commission/over-rider payment – please enter the details of your office account

Sort code

 – –

Account number

Bank name

Account name

Data protection

How will Investec Premium Finance use your information?

Investec Premium Finance is committed to processing your information in accordance with the Data Protection Act 2018. We may use your information to make business decisions, carry out enquiries, detect and prevent fraud, and to ensure that we comply with legal and regulatory requirements. Further details of how we will use and manage your data can be found at www.investec.com/en_gb/legal/UK/data-protection.html.

We reserve the right to conduct monitoring of your firm or request documentation which will support a compliant and regulatory culture during your relationship with Investec Premium Finance.

Compliance and regulation

Has your brokerage ever been subject to a significant event?

 Yes No

Has any director, partner or principal ever been subject to a significant event?

 Yes No

'Significant event' includes, but is not limited to, your brokerage (or any director, partner or principal) being:

- Subject to a bankruptcy, winding up petition, creditors voluntary agreement or insolvency order either in the UK or outside the UK
- Subject to any investigation or disciplinary action taken by the FCA or other regulatory body in the UK or outside the UK
- Subject to any material litigation or material complaints within the last five years (include any pending proceedings or investigation)
- Subject of an investigation into allegations of misconduct or malpractice in connection with any business activity
- Investigated for, or accused of, corrupt conduct or bribery
- Accused by any government agency or convicted of bribery or fraud or other dishonesty in the past five years (include pending proceedings)
- Disqualified by a court from acting as a director of a company or from acting in a management capacity or conducting the affairs of any company, partnership or unincorporated association

If you've answered 'yes' to the above, please provide us with some background information below

Declaration

In order to support a compliant and regulatory culture during my relationship with Investec Premium Finance and to assess my firm's application, I understand that, in submitting my firm's application, Investec Premium Finance will:

1. Use different data made available by credit reference agencies, which may be taken from publicly held information such as electoral roll and court judgments as well as non-public information relating to my credit history and/or the credit histories of any other directors or partners of my firm and data provided by fraud prevention agencies; and
2. Use a combination of the data referred to above to verify my identity (and the identity of any directors/partners of my firm); make business decisions; carry out enquiries; detect and prevent fraud; and to ensure that Investec Premium Finance complies with its legal and regulatory requirements.

I understand as Partner/Director that, in submitting this application, Investec Premium Finance may carry out all necessary appropriate credit checks in processing this application which may involve registration against my name at licensed credit reference agencies. In addition, if I have made this application on behalf of myself and any other person, by doing so I confirm to Investec Premium Finance that I have their authority to disclose their personal information to Investec Premium Finance, and that they have been made aware of all of the uses by Investec Premium Finance of their Personal Data.

I confirm that the data in this application form is accurate, current and complete to the best of my knowledge.

I confirm that I will notify Investec Premium Finance immediately if there are any material changes to the information provided in this application form.

I understand that Investec Premium Finance reserves the right to decline this application or choose to defer the application pending additional information.

Please ensure this form is signed by an authorised person(s) of the brokerage

Full name

Full name

Signature

Signature

Date

Date

Email to:

ipfbrokersupport@investec.co.uk

Investec Premium Finance
Reading International Business Park
Reading RG2 6AA

If you have any questions regarding completion of this form, or the supporting information required, please call us on 0330 123 3656