

Investec Premium Finance

Introducer  
Application Form



## Your details

Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Other	Full name	<input type="text"/>
Business email address	<input type="text"/>	Position	<input type="text"/>

## Your brokerage details

Brokerage name	<input type="text"/>
Trading address	<input type="text"/>
	<input type="text"/>
	Postcode <input type="text"/>
Type of business	<input type="checkbox"/> Limited company <input type="checkbox"/> LLP <input type="checkbox"/> Sole trader <input type="checkbox"/> Partnership
Main telephone number	<input type="text"/>
	Main email address <input type="text"/>
Website	<input type="text"/>
	Date established <input type="text"/>
Number of people employed	<input type="text"/>
	Company registration number (if applicable) <input type="text"/>
VAT registration number	<input type="text"/>
	FCA number <input type="text"/>

Do you have any other branches? If so, how many?

Are you part of a network? If so, please tell us the name

Are you a member of a trade association? If so, please give details below

## Brokerage structure and ownership

Please let us know the full name, position, personal address and % shareholding of all company directors, partners and sole traders

Full name

Position

Personal address

Postcode

Shareholding (%)

Full name

Position

Personal address

Postcode

Shareholding (%)

Full name

Position

Personal address

Postcode

Shareholding (%)

Full name

Position

Personal address

Postcode

Shareholding (%)

## Your brokerage business

### Please provide us with a little more background to your business

What is your annual gross written premium?

% Commercial  % Personal

What % of your insurance premiums are financed?

 %

Which areas of insurance do you specialise in?

Who are the main insurers you work with?

Who is your main premium finance provider?

Average premium size

Who is your software house?

## Bank details

### Please enter the details of the client account which the premiums should be paid into

Bank name

Account name

Address

Sort code

 -  - 

Account number

### Please enter the details of the office account which the over-rider should be paid into

Bank name

Account name

Address

Sort code

 -  - 

Account number

## Compliance and regulation

### Has your company or any principal in your company (e.g. director, partner or principal) ever been:

- Subject to a bankruptcy or insolvency order either in the UK or outside the UK?
- Subject to any investigation or disciplinary action taken by the FCA or other regulatory body in the UK or outside the UK?
- Subject to any litigation within the last five years (include any pending proceedings)?
- Subject of an investigation into allegations of misconduct or malpractice in connection with any business activity?
- Investigated for or accused of corrupt conduct or bribery?
- Accused by any government agency or convicted of bribery or fraud in the past five years (include pending proceedings)?
- Disqualified by a court from acting as a director of a company or from acting in a management capacity or conducting the affairs of any company, partnership or unincorporated association?

Yes  No

### If you answered yes to the above, please provide us with some background information

## Anti-bribery arrangements

### Does your company:

- Have a code of conduct/ethics and an anti-bribery and corruption policy in place which covers controls relating to gifts and entertainment, expenses and political/charitable donations?
- Prohibit facilitation payments, including to public officials?
- Requires its employees to undergo anti-bribery and corruption training?

Yes  No

### If you answered no to the above, please provide us with some background information

## Data protection consent

### How will Investec Premium Finance use your information?

Investec Premium Finance is committed to processing your information in accordance with the Data Protection Act 1998. We may use your information to make business decisions, carry out enquiries, detect and prevent fraud, and to ensure that we comply with legal and regulatory requirements.

We reserve the right to conduct monitoring of your firm or request documentation which will support a compliant and regulatory culture during your relationship with Investec Premium Finance.

## Declaration

### In order to support a compliant and regulatory culture during my relationship with Investec Premium Finance and to assess my firm's application, I consent to Investec Premium Finance:

1. Using different data made available by credit reference agencies, which may be taken from publicly held information such as electoral roll and court judgments as well as non-public information relating to my credit history and data provided by fraud prevention agencies; and
2. Using a combination of the data referred to above to verify my identity; make business decisions; carry out enquiries; detect and prevent fraud; and to ensure that Investec Premium Finance complies with its legal and regulatory requirements.

I/We confirm as Partner(s)/Director(s) that you may carry out all necessary appropriate credit checks in processing this application which may involve registration against my/our names at licensed credit reference agencies (CRA's). In addition, if you have made this application on behalf of yourself and any other person(s), by doing so you confirmed to us that you had their authority to disclose their personal information to us, and to give consent on their behalf to all of the uses of their Personal Data.

I/We confirm that the above data is accurate, current and complete to the best of my/our knowledge.

I/We confirm that I/we will notify Investec Premium Finance immediately if there are any material changes to the information provided above.

I/We understand that Investec Premium Finance reserves the right to decline this application or choose to defer the application pending additional information.

### Please ensure this form is signed by an authorised person(s) of the brokerage

Full name

Signature

Date

Full name

Signature

Date

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To complete your application  
send your completed form along  
with the following documents:



**Latest set of  
audited accounts**



**Copy of your bank  
statement and a blank  
cheque or letter from your  
bank for each account**



**Copy of a passport  
or driving licence for  
all director(s)**



**CV/experience  
summary of director(s)**



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Send via email to:

[ipfbrokersupport@investec.co.uk](mailto:ipfbrokersupport@investec.co.uk)

Or post to:

Investec Premium Finance Reading  
International Business Park Reading  
RG2 6AA

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**Our regulator**

Investec Premium Finance is a trading style of Investec Asset Finance plc. Investec Asset Finance plc is authorised and regulated by the Financial Conduct Authority for credit-related regulated activities (including hiring). Registered office at Reading International Business Park, Reading, RG2 6AA. Registered in England Number 2179313.